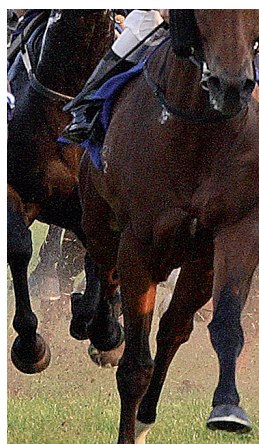


Office of the
**Racing Integrity
Commissioner**

Annual Report 2009-10





Contents

Year in Review

7	Overview of the Office of the Racing Integrity Commissioner
8	The Commissioner's Message
11	Functions of the Racing Integrity Commissioner
13	Review of Integrity Assurance in the Victorian Racing Industry: The Lewis Report
15	Key Achievements
19	Report on Operations

About the Office of the Racing Integrity Commissioner

20	Governance and Organisational Structure
21	Human Resources Management
22	Workforce Overview
23	Management and Accountability

Appendix

29	Disclosure Index
30	Finances





Office of the
**Racing Integrity
Commissioner**

The Hon. Rob Hulls, MP
Minister for Racing
Level 3
1 Treasury Place
East Melbourne VIC 3002

Dear Mr Hulls

I am pleased to present to you the Annual Report of the Office of the Racing Integrity Commissioner for the year ending 30 June 2010 for presentation to Parliament, in accordance with section 37F(1) of the *Racing Act 1958*.

Please note this report reflects only the four month period for which this office has been in operation.

Yours sincerely

A handwritten signature in black ink, appearing to read 'Sal Perna', with a stylized flourish at the end.

Sal Perna
Racing Integrity Commissioner



Year in Review

Overview of the Office of the Racing Integrity Commissioner

The Office of the Racing Integrity Commissioner was established under the *Racing Act 1958* to provide independent oversight of integrity issues within the Victorian racing industry, to receive integrity related complaints and act in a quasi-ombudsman role.

The inaugural Racing Integrity Commissioner, Mr Sal Perna, was appointed on 1 March 2010. The Commissioner provides an annual report to the Minister for Racing who is required to table it before the Victorian Parliament.

Our Vision

A Victorian racing industry in which Thoroughbreds, Standardbreds and Greyhounds compete to the best of their natural ability, free from the influence of crime and corruption.

Our Mission

To promote the highest standards of integrity assurance in the Victorian Racing Industry.

Our Values

The Commissioner has adopted five key values to guide the work of the Office of the Racing Integrity Commissioner.

Integrity

We will be accountable, fair and transparent.

Independence

We will act impartially, without fear or favour.

Leadership

We are committed to being at the forefront of integrity assurance.

Professionalism

We are dedicated to achieving excellence.

Engagement

We will develop successful partnerships built on trust.

The Commissioner's Message

Welcome to the Office of the Racing Integrity Commissioner's inaugural Annual Report.

I'm pleased to provide this report for the 2009-10 financial year, albeit effectively only from 1 March 2010, being the date on which my role and the Office came into being by virtue of the enactment of the *Racing Legislation Amendment (Racing Integrity Assurance) Act 2009*.

I am proud to have been appointed to the position of the inaugural Racing Integrity Commissioner and to be given the opportunity to establish an office which can assure the public of a racing industry in which Thoroughbreds, Standardbreds and Greyhounds compete to the best of their natural ability and free from the influence of crime or corruption.

The initial work of my Office during 2009-10 has centred on the establishment of the Office, including the development of a business plan that outlines our strategic direction and key focus areas and the development of our functional capabilities.

The 2010-11 financial year will see the first full year of operation of the Office of the Racing Integrity Commissioner. The strategic objectives of the Office for the coming year are to:

- engender public confidence in the integrity of Victorian racing;
- develop leadership in racing integrity assurance; and
- enhance existing racing integrity assurance processes and systems.

One of the key initiatives of 2010-11 will be to undertake an audit of integrity processes and systems within each of the three racing codes. Other initiatives of the Office in 2010-11 will include:

- the launch of a Racing Integrity Hotline, to provide a conduit for information and complaints from the racing industry and members of the public who wish to retain their anonymity;
- the launch of an Office of the Racing Integrity Website, to ensure timely and accurate provision of information and ability for users to better understand the role and responsibilities and contact the Office; and
- the enhancement of the Office's functional areas through the addition of an investigative capability.



As industry and public awareness of the Office increases, we expect to receive information from the public and members of the racing industry, either directly or via the Racing Integrity Hotline.

The creation of a new statutory and independent body such as the Office of the Racing Integrity Commissioner requires committed staff and extraordinary effort. I wish to thank my staff for their high levels of energy and their professionalism in already achieving so much in such a short time frame.

I also wish to recognise the support and assistance from all those I have met with to date. There's no doubt that people connected to the racing industry have a passion for the animals and the sport. I'm confident that together we can achieve a great deal in developing an industry with the best practice integrity processes.

There is a widely held view that Victoria is the sporting capital of Australia and that we have a world class racing industry. Racing is a billion dollar industry, a generator of economic revenue, a major employer, a key entertainment provider, and an international tourist drawcard.

Integrity is the lifeblood of any healthy and successful business. It's my role to provide support to the industry by ensuring that the public maintains confidence in the integrity of racing.

I'd like to conclude by thanking the Government for the opportunity to serve as the first Racing Integrity Commissioner. I am honoured to serve in this role, particularly in a year that celebrates the 150th running of the Melbourne Cup.

Sal Perna

Racing Integrity Commissioner



Functions of the Racing Integrity Commissioner

The *Racing Act 1958* provides for the Racing Integrity Commissioner to perform a several functions. These functions are to:

- Conduct annual audits of the internal integrity processes and systems, in whole or in part, of each controlling body (Racing Victoria [RVL], Harness Racing Victoria [HRV] and Greyhound Racing Victoria [GRV]) in areas identified:
 - by the Racing Integrity Commissioner; or
 - by the Racing Integrity Commissioner in consultation with each controlling body.
- Conduct audits outside the subject matter of an annual audit at the request of a controlling body.
- Investigate complaints made about the integrity processes and systems of a controlling body.
- Refer complaints about the integrity processes and systems of a controlling body to:
 - if the complaint relates to a criminal matter, Victoria Police;
 - if the complaint relates to an alleged or apparent contravention of the rules of a controlling body, the relevant controlling body;
 - if the complaint relates to an alleged or apparent contravention of the *Gambling Regulation Act 2003*, to the Victorian Commission for Gambling Regulation (VCGR);
 - if the complaint relates to an alleged or apparent contravention of any Act or subordinate instrument in connection with the integrity of racing, the relevant government agency; or
 - if the complaint relates to an internal integrity matter, the integrity sub-committee of the Board of the relevant controlling body.
- Investigate matters referred by the Minister or a controlling body.
- Report the findings of any investigations conducted into complaints that have not been referred to other bodies to:
 - the person that made the complaint; and
 - with or without identifying the person that made the complaint or the person that is subject of the complaint, the Minister or the relevant controlling body (as the Racing Integrity Commissioner considers appropriate).
- Conduct own motion inquiries that do not relate to any specific complaint and may include an investigation into systemic issues in racing.

- Make recommendations (if appropriate) following the investigation of any complaint, inquiry or matter to (as the Racing Integrity Commissioner considers appropriate):
 - the Minister; or
 - the relevant controlling body.
- Direct a Racing Appeals and Disciplinary (RAD) Board to hear and determine an appeal made by a person against a penalty imposed on the person under the rules of a controlling body if:
 - the penalty imposed is a fine of not more than \$250; and
 - the Racing Integrity Commissioner considers that it is in the public interest for the appeal to be heard.
- Perform any other functions conferred on the Racing Integrity Commissioner under the *Racing Act 1958*.

The Racing Integrity Commissioner may advise the Minister of any failure by a controlling body to implement or act on a recommendation made if:

- before advising the Minister, the Racing Integrity Commissioner notifies the controlling body of the Commissioner's intention to advise the Minister of such failure to implement or act on a recommendation; and
- the Commissioner advises the controlling body that they may, within 14 days of receiving the notification, respond in writing to the Commissioner in respect of that notification, such response to be provided to the Minister by the Commissioner.

In carrying out these functions, the Racing Integrity Commissioner also works to:

- provide advice on integrity across the three codes and the racing industry;
- liaise with the racing industry regarding policies and practices relating to integrity;
- facilitate the exchange of information between the controlling bodies, Victoria Police, Victorian Commission for Gambling Regulation (VCGR) and other agencies as appropriate; and
- strengthen relations between all parties and improve information sharing between the relevant enforcement agencies on integrity related matters.

Review of Integrity Assurance in the Victorian Racing Industry: The Lewis Report

In March 2008 the Victorian Government appointed Judge Gordon Lewis, AM to lead a process of consultation with racing industry controlling bodies and other stakeholders on options to ensure that integrity assurance within the industry was of the highest standard. The definition of 'integrity services and systems' for the purposes of the review included: overall stewardship and associated investigations, race day operations, betting compliance and regulation, veterinary services, drug control and licensing and registration.

In providing his findings to the Government, Judge Lewis adopted a broad approach based on a view that integrity assurance serves to ensure "... that the correctly identified animals compete in a race, to the best of their natural ability, and free from the influence of corrupt practices at any level." Judge Lewis identified avenues for potential reform, making 63 individual recommendations for changes to integrity assurance practices and processes in the Victorian racing industry across seven themes:

- criminal activity in the racing industry;
- internal integrity structures of the Victorian codes;
- co-operation on integrity issues;
- appeals and disciplinary processes;
- swabbing and drug testing;
- stewards; and
- other integrity matters.

The Lewis Report found that safeguards against criminal activity associated with racing and wagering needed strengthening, and although the controlling bodies should continue to be responsible for integrity assurance, there was a clear need to provide independent oversight of integrity related issues in the industry.

Following the release of the Lewis Report in August 2008, the Government established a joint Victorian Government and Victorian Racing Industry Implementation Working Party (IWP), chaired by the Department of Justice and involving representatives from Victoria Police and the three racing codes, to consider the recommendations and report on their implementation. The IWP met on a number of occasions between August and December 2008, at times with Judge Lewis, and agreed in principle to implement all 63 recommendations.

In short, the Lewis Report recommended that whilst the controlling bodies continue to govern their codes, Victoria would be best served by strengthening those arrangements by providing for the independent oversight of integrity. The Lewis Report recommended the creation of a Racing Integrity Commissioner with stand alone, independent statutory powers and a broad charter associated with integrity assurance.

On 28 July 2009, the Minister for Racing introduced the Racing Legislation Amendment (Racing Integrity Assurance) Bill 2009 to Parliament in support of the implementation of Judge Lewis' key recommendations. Upon the enactment of the Bill on 8 September 2009, the relevant provisions of the *Racing Act 1958* were amended to provide for:

- the creation of the position of the Racing Integrity Commissioner;
- the establishment of new Racing Appeals and Disciplinary Boards for Greyhound Racing Victoria (GRV) and Harness Racing Victoria (HRV);
- the abolition of the Racing Appeals Tribunal; and
- the abolition of the provisions of the *Gambling Regulation Act 2003* banning the transmission of betting odds from racecourses during race meetings.

As at 30 June 2010, all 63 recommendations in Judge Lewis' 2008 report into integrity assurance have been addressed, have been completed or substantially progressed in the form recommended in the first instance by the Lewis Report, or in the form of a suitable alternative, as agreed to by the IWP.

Of the 63 recommendations contained in Judge Lewis' report:

- 51 have been implemented in full, in the form described and agreed to by the IWP;
- 8 have been implemented in full, by way of an alternate solution agreed to by the IWP in consultation with Judge Lewis; and
- 4 are currently being progressed by the controlling bodies and other industry related bodies and associations, with the support of the Racing Integrity Commissioner.

Key Achievements

Establishment of the Office of the Racing Integrity Commissioner

Mr Sal Perna was appointed Racing Integrity Commissioner by the Governor in Council commencing 1 March 2010 under the *Racing Act 1958* for an initial full time period of two years.

The appointment of the inaugural Commissioner required the establishment of an Office to support the exercise of his functions. During the period 1 March 2010 to 30 June 2010, office premises were secured and modified, staff appointed, furniture and equipment procured, security arrangements implemented and all other necessary arrangements made to establish a functioning office.

Racing Industry Stakeholder Survey

In establishing the Office, one of the key objectives of the Commissioner was to undertake a review of the racing industry stakeholders. To this end, an independent survey of Victorian racing industry stakeholders was commissioned during June 2010. The aim of the survey was to better understand the stakeholder environment and identify critical 'success factors' to measure the performance of the Office in relation to its establishment and ongoing operations. In particular, the survey addressed the following issues:

- perceptions of integrity in the industry;
- expectations of the Racing Integrity Commissioner and his Office;
- potential barriers to the successful establishment of the Office;
- changes in the industry that could impact upon the role of the Commissioner; and
- measuring the success of the establishment of the Office.

Twenty three in-depth interviews were undertaken with stakeholders from all three racing codes, including integrity managers and stewards from the controlling bodies, government, judicial bodies and representatives from racing clubs.

The following key findings emerged from the Racing Integrity Commissioner's survey of racing industry stakeholders:

- A substantial number of respondents did not believe there was 'hard evidence' to suggest there were significant levels of criminal activity within any of the three racing codes.
- Stakeholders acknowledged that without a strong reputation for integrity, the racing industry would suffer.
- Stakeholders expressed a strong belief that racing integrity assurance systems and processes were already well managed within the industry, but the appointment of a Racing Integrity Commissioner would enhance existing controls and provide independent oversight which had previously been missing.

- Stakeholders perceived the role of the Racing Integrity Commissioner to include:
 - researching effective processes and procedures;
 - consulting with industry stakeholders;
 - recommending changes or confirming current approaches;
 - auditing performance against standards; and
 - reporting the findings of his activities.
- Respondents further identified the Commissioner's role as potentially enhancing the reputation of the industry by playing the following roles:
 - a facilitator – bringing members of the industry together and aligning the activities of supporting organisations.
 - an independent investigator – providing an opportunity for individuals to safely raise concerns about integrity, ascertain the seriousness of the complaints and take action where necessary.
 - an advocacy role – promoting success of the industry by ensuring "... a level playing field for all involved."

Stakeholder Engagement Programme

Between 1 March and 30 June 2010 the Racing Integrity Commissioner undertook a Stakeholder Engagement Programme, for the purposes of identifying and developing relationships with stakeholders within the Victorian racing industry. Over the course of the programme, the Commissioner attended 121 meetings with 174 individuals representing 79 organisations or business units, drawn from the controlling bodies, the media, betting and wagering providers, racing clubs, the judiciary, industry experts; law enforcement and government bodies and agencies; and other industry participants.

Industry Familiarisation Programme

During 2009-10, the Racing Integrity Commissioner undertook an industry familiarisation programme for the purposes of developing relationships and gaining an enhanced insight into the racing industry, across all three racing codes. As part of this programme the Commissioner undertook a number of site visits and observed the operations of a variety of integrity assurance processes and systems at race day meetings for each code, including barrier and box draws and pre and post race drug swabbing.

Racing Analytical Services Limited (RASL) Funding

RASL was established in 1988 as a not-for-profit, independent entity for the purposes of providing drug laboratory testing services to the Victorian racing industry. Since that time, the laboratory has achieved national and international accreditation and is recognised as providing the highest possible standards of integrity assurance services in relation to drug detection in Victoria.

In its findings, the Lewis Report identified the importance of the adoption of a co-operative approach by the three codes towards the ongoing funding of RASL's operations by the three racing codes. To this end, during April and May 2010 industry consultations with the Minister for Racing and the Racing Integrity Commissioner resulted in a commitment from the controlling bodies to fund RASL's operations for the 2010-11 financial year. This commitment provides RASL with a certainty of operations irrespective of the number of tests it performs during the financial year.

In addition, the controlling bodies have also committed to assist RASL in undertaking a review of its governance and financial arrangements with a view to establishing a long term model of operations which will continue to meet both the current and emerging needs of the racing industry in Victoria.



Report on Operations

The *Racing Act 1958* provides for the Racing Integrity Commissioner the power to:

- conduct audits of integrity process and systems;
- investigate complaints made about the integrity processes and systems of a controlling body;
- conduct his own motion inquiries; and
- refer complaints about the integrity processes and systems of a controlling body to other government agencies for investigation.

The Commissioner may also direct a Racing Appeals and Disciplinary (RAD) Board to hear and determine an appeal against a penalty imposed by a controlling body in specific circumstances.

From 1 March to 30 June 2010, the Office of the Racing Integrity Commissioner:

- conducted no formal audits of the internal integrity processes and systems of the controlling bodies or other subject matter;
- referred no complaints or matters to about the integrity processes and systems of a controlling body to another agency for investigation; and
- conducted no investigations or own motion investigations.

The Racing Integrity Commissioner received three complaints for consideration during the 2009-10 reporting period in relation to:

- Allegations of misconduct and improper relationships by racing officials from one of the racing codes. This matter is currently under investigation.
- Allegations of misconduct by racing officials from another of the racing codes. This matter is currently under investigation.
- The failure of a RAD Board to accept a notice of appeal within the prescribed time limits. Upon the recommendation of the Commissioner the RAD Board reviewed its decision in the first instance and accepted the notice of appeal.

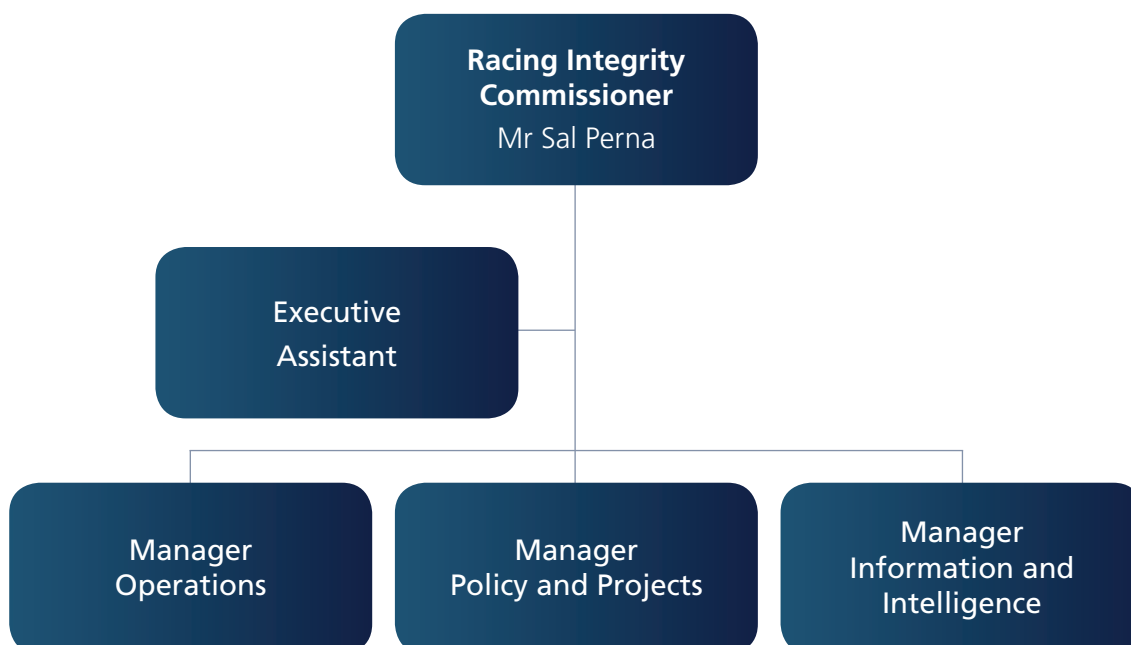
About the Office of the Racing Integrity Commissioner

Governance and Organisational Structure

Organisational Structure and Governance Arrangements

The staff at the Office of the Racing Integrity Commissioner are appointed by the Commissioner, but are employed by the Department of Justice. For the purposes of their work for the Commissioner, the Commissioner's staff work independently of the Department of Justice.

Office of the Racing Integrity Commissioner organisational structure



Human Resources Management

Occupational Health and Safety

The Racing Integrity Commissioner aims to provide his staff with a healthy and safe workplace. The Commissioner is committed to enhancing safety performance and ensuring safe systems at work.

No time was lost during 2009-10 due to workplace injuries.

Application of Merit Principles to Selection

The Racing Integrity Commissioner is committed to applying merit and equity principles when appointing staff, in accordance with provisions of the *Public Administration Act 2004*. The selection processes employed by the Commissioner ensures that applicants are assessed and evaluated fairly and equitably on the basis of the key selection criteria and other accountabilities without discrimination.

There were no formal grievances lodged in relation to employment matters or recruitment or selection matters during the reporting period.

Workplace Relations

No industrial relations issues concerning the Office of the Racing Integrity Commissioner were registered with the Department of Justice during the reporting period.

No grievances were received by the Commissioner during 2009-10.

Workforce Overview

Managing and Valuing Diversity

The Racing Integrity Commissioner is committed to fostering diversity within the workplace. In light of the small number of employees, broad-based, internal diversity initiatives are neither necessary nor viable within the Office of the Racing Integrity Commissioner.

The Office of the Racing Integrity Commissioner does not provide services specifically targeted at culturally and linguistically diverse communities, women, young people or Indigenous communities.

Public Administration Values and Employment Principles

The staff of the Office of the Racing Integrity Commissioner uphold the *Code of Conduct for Victorian Public Sector Employees* (No. 1) 2007.

No breaches of the Code by the Commissioner's staff occurred in 2009-10.

	Ongoing		Fixed Term and Casual Employees	
Age	Male	Female	Male	Female
Under 25	0	0	0	0
25-34	0	2	0	0
35-44	0	0	0	1
45-54	1	1	0	0
55-64	0	0	0	0
Over 64	0	0	0	0
Total	1	3	0	1

	Ongoing		Fixed Term and Casual Employees	
Classification	Male	Female	Male	Female
VPS 1	0	0	0	0
VPS 2	0	0	0	0
VPS 3	0	1	0	0
VPS 4	0	0	0	0
VPS 5	0	2	0	1
VPS 6	0	0	0	0
Statutory Office Holder	1	0	0	0
Total	1	3	0	1

Staff Profile

As at 30 June 2010, the Office of the Racing Integrity Commissioner was staffed by four full time employees and one full time Statutory Officer.

Executive Officers

The Racing Integrity Commissioner is a statutory office holder appointed by Governor in Council and not classified as an Executive Officer for reporting purposes.

Management and Accountability

Victorian Industry Participation

In October 2003, the Victorian Parliament passed the *Victorian Industry Participation Policy Act 2003* which requires public bodies and Departments to report on the implementation of the Victorian Industry Participation Policy (VIPP). Departments and public bodies are required to apply VIPP in all tenders over \$3 million in metropolitan Melbourne and \$1 million in regional Victoria.

The Commissioner did not commence or complete any contracts during 2009-10 that require disclosure under the *Victorian Industry Participation Policy Act 2003*.

Consultancies

Details of consultancies over \$100,000

The Racing Integrity Commissioner did not engage consultants during 2009-10 where the total fees payable were greater than \$100,000 (exclusive of GST).

Details of consultancies under \$100,000

During 2009-10, the Commissioner engaged a consultant to undertake an independent survey of Victorian racing industry stakeholders. The total fees payable were less than \$100,000 (exclusive of GST).

Disclosure of Major Contracts

The Racing Integrity Commissioner did not enter into any contracts greater than \$10 million during 2009-10.

Freedom of Information

The *Freedom of Information Act 1982* allows the public a right of access to documents held by the Office of the Racing Integrity Commissioner. For the period ending 30 June 2010, the Office of the Racing Integrity Commissioner received no Freedom of Information applications requesting access to information.

Making a Request

Access to documents may be obtained through written request to the Freedom of Information Manager, as provided by section 17 of the *Freedom of Information Act 1982*. In summary, the requirements for making a request are:

- it should be in writing;
- it should identify as clearly as possible which document is being requested; and
- it should be accompanied by the appropriate application fee (the fee may be waived in certain circumstances).

Requests for information in the possession of the Office of the Racing Integrity Commissioner should be addressed to:

Freedom of Information Manager
Office of the Racing Integrity Commissioner
PO Box 24034
Bourke Street
Melbourne VIC 3001

Requests can also be lodged online at www.foi.vic.gov.au.

Access charges may also apply once documents have been processed and a decision on access is made, for example photocopying and search and retrieval charges.

Further information regarding Freedom of Information may be found at www.foi.vic.gov.au.

Compliance with Building Act 1983

The Office of the Racing Integrity Commissioner does not own nor control any government buildings and consequently is exempt from providing notification of its compliance with building and maintenance provisions of the *Building Act 1983*.

National Competition Policy

In 1995, all Australian governments (federal, state and territory) agreed to review and, where appropriate, reform all existing legislative restrictions on competition. Under National Competition Policy, the guiding legislative principle is that legislation, including future legislative proposals, should not restrict competition unless it can be demonstrated that:

- the benefits of the restriction to the community as a whole outweigh the costs; and
- the objectives of the legislation can only be achieved by restricting competition.

The Office of the Racing Integrity Commissioner is not engaged in any business activities in competition with private companies or other government enterprises, nor does it generate revenue as a result of its activities.

Compliance with Whistleblowers Protection Act 2001

The *Whistleblowers Protection Act 2001* encourages and assists people in making disclosures of improper conduct by public officers and public bodies. The Act provides protection to people who make disclosures in accordance with the Act and establishes a system for the matters disclosed to be investigated and rectifying action to be taken.

The Racing Integrity Commissioner does not tolerate improper conduct by employees, or the undertaking taking of reprisals against those who come forward to disclose such conduct. The Commissioner is committed to ensuring transparency and accountability

in the Office's administrative and management practices and supports the making of disclosures that reveal corrupt conduct, conduct involving a substantial mismanagement of public resources, or conduct involving a substantial risk to public health and safety or the environment.

The Commissioner will take all reasonable steps to protect people who make such disclosures from any detrimental action undertaken in reprisal for making the disclosure and will afford natural justice to the person who is the subject of the disclosure to the extent to which it is legally possible.

Reporting Procedures

Disclosures of improper conduct or detrimental action by the Office of the Racing Integrity Commissioner staff or contractors may be made, in the first instance, to Racing Integrity Commissioner:

Mr Sal Perna

Racing Integrity Commissioner
Office of the Racing Integrity Commissioner
PO Box 24034
Bourke Street
Melbourne VIC 3001

Alternatively, disclosures of improper conduct or detrimental action by the Racing Integrity Commissioner or his employees may also be made directly to the Ombudsman.

The Ombudsman Victoria

Level 9
459 Collins Street (North Tower)
Melbourne VIC 3000

Telephone: 03 9613 6222
Toll free: 1800 806 314
Internet: www.ombudsman.vic.gov.au
Email: ombudvic@ombudsman.vic.gov.au

Further Information

Written guidelines outlining the system for reporting disclosures of improper conduct or detrimental action by the Racing Integrity Commissioner or his employees are available on the Office of the Racing Integrity Commissioner's website at www.racingintegrity.vic.gov.au.

Disclosures under the *Whistleblowers Protection Act 2001*

The Racing Integrity Commissioner received no disclosures made under the *Whistleblowers Protection Act 2001* during the reporting period. The Ombudsman made no recommendations in relation to the Office of the Racing Integrity Commissioner during 2009-10.

Environmental Impacts

The provision of energy, water and waste disposal service to the Office of the Racing Integrity Commissioner is managed by the Department of Justice. Energy and water consumed by the Office of the Racing Integrity Commissioner are not metered separately. The principle environmental impacts of the Office of the Racing Integrity Commissioner are included within the annual reporting of the Department of Justice.

Additional Information available on Request

In compliance with the Standing Directions of the Minister for Finance, details in respect of the items listed below have been retained by Office of Racing Integrity Commissioner and are available to the relevant Ministers, Members of Parliament and the public on request (subject to the Freedom of information requirements, if applicable).

The following information has been retained by the Office of the Racing Integrity Commissioner and is available on request:

- (a) a statement that declarations of pecuniary interests have been duly completed by all relevant officers of the Office of the Racing Integrity Commissioner;
- (b) details of shares held by senior officers as nominee or held beneficially in a statutory authority or subsidiary;
- (c) details of publications produced by the Office of the Racing Integrity Commissioner about its activities and where they can be obtained;
- (d) details of changes in prices, fees, charges, rates and levies charged by the Office of the Racing Integrity Commissioner for its services, including services that are administered;
- (e) details of any major external reviews carried out in respect of the operation of the Office of the Racing Integrity Commissioner;
- (f) details of any other research and development activities undertaken by the Office of the Racing Integrity Commissioner that are not otherwise covered in the report of operations;
- (g) details of overseas visits undertaken including a summary of the objectives and outcomes of each visit;
- (h) details of major promotional, public relations and marketing activities undertaken by the Office of the Racing Integrity Commissioner to develop community awareness of the services it provides;
- (i) details of assessments and measures undertaken to improve the occupational health and safety of employees, not otherwise detailed in this report;

- (j) a general statement on industrial relations within the Office of the Racing Integrity Commissioner and details of time lost through industrial accidents and disputes, which are not otherwise detailed in this report; and
- (k) a list of major committees sponsored by the Office of the Racing Integrity Commissioner, the purposes of each committee and the extent to which the purposes have been achieved.

The information is available on request from:

Office of the Racing Integrity Commissioner

Telephone: + 61 3 8684 7776

Email: enquiries@racingintegrity.vic.gov.au

Risk Management

The Commissioner maintains a risk management policy that meets Victorian Government requirements and undertakes a risk management approach to operations.

In the interests of transparency and good governance the Commissioner intends to publish a risk attestation in relation to the Australian and New Zealand Risk Management Standard AS/NZ4360 upon the establishment of the Office of the Racing Integrity Commissioner Risk Management Plan and Risk Register, commencing with the 2010-11 reporting year.



Appendix

Disclosure Index

The Annual Report of the Office of the Racing Integrity Commissioner is prepared in accordance with all relevant Victorian legislation. This index has been prepared to facilitate identification of compliance with statutory disclosure requirements.

The Commissioner includes relevant information in this report so as to provide the Parliament and the people of Victoria with a transparent and informative account of the Commissioner's operations for the year.

Legislation	Requirement	Page
Ministerial Directions		
Reporting of Operations – FRD Guidance		
<i>Charter and purpose</i>		
FRD 22B	Manner of establishment and the relevant Ministers	7
FRD 22B	Objectives, functions, powers and duties	8-12
FRD 22B	Nature and range of services provided	15-19
<i>Management and structure</i>		
FRD 22B	Organisational structure	20
<i>Financial and other information</i>		
FRD 10	Disclosure index	29
FRD 12A	Disclosure of major contracts	23
FRD15B	Executive officer disclosures	22
FRD 22B	Employment and conduct principles	21-22
FRD 22B	Occupational health and safety policy	21
FRD 22B	Application and operation of <i>Freedom of Information Act 1982</i>	23-24
FRD 22B	Application and operation of <i>Whistleblowers Protection Act 1981</i>	25-26
FRD 22B	Compliance with building and maintenance provisions of <i>Building Act 1983</i>	24
FRD 22B	Statement of National Competition Policy	24
FRD 22B	Details of consultancies over \$100,000	23
FRD 22B	Details of consultancies under \$100,000	23
FRD 22B	Statement of availability of other information	26-27
FRD 24B	Reporting of office-based environmental impacts	26
FRD 29	Workforce data disclosures	22
SD 4.5.5	Risk management compliance attestation	27

Legislation	
<i>Building Act 1983</i>	24
<i>Freedom of Information Act 1982</i>	23-24
<i>Multicultural Victoria Act 2004</i>	22
<i>Racing Act 1958</i>	19
<i>Victorian Industry Participation Policy Act 2003</i>	23
<i>Whistleblowers Protection Act 2001</i>	24-25

Finances

The Office of the Racing Integrity Commissioner is an independent, statutory office funded under the Department of Justice appropriation. The Expenditure Review Committee (ERC) has determined a specific amount of money to fund the Commissioner and his Office, which is under the control of the Commissioner. The Department of Justice Budget Papers do not apply specific budgetary objects or outputs to the Office of the Racing Integrity Commissioner.

Office of the Racing Integrity Commissioner

Postal Address
PO Box 24034
Bourke Street
Melbourne VIC 3001

Street Address
Level 26
121 Exhibition Street
Melbourne VIC 3001

Telephone: + 61 3 8684 7776
Fax: + 61 3 8684 7778

Email: enquiries@racingintegrity.vic.gov.au
Internet: www.racingintegrity.vic.gov.au

Racing Integrity Hotline: +61 1300 227 225

